



Technology Paper

# The Benefits of Self-Encrypting Drives in the Data Center

## Introduction

At least 39 U.S. states now have data privacy laws that state if you encrypt data at rest, you don't have to report breaches of that data. U.S. Congressional bills have similar provisions. The Payment Card Industry Data Security Standard, which requires rendering sensitive cardholder data unreadable anywhere it is stored, lists strong cryptography as an acceptable method of doing so. For these and other reasons, data center administrators have compelling motivations for encrypting data at rest.

Nearly all drives eventually are decommissioned from use in a data center, whether because the drive failed, is obsolete, is to be repurposed, or is to be returned for service, warranty or because the lease expired. When the drives leave the data center, the majority is still operable and their data is still readable. In an IBM study, 90 percent of drives returned to IBM were still readable. It is not just the drive that is leaving the data center; it's also the data. Even data that has been striped across many drives in a RAID array is vulnerable to data theft. The typical stripe size in today's arrays is big enough to expose hundreds of names and social security numbers.

Current drive disposal practices that aim to make the data unreadable rely on human involvement in significant parts of the process and are subject to human failure. For this reason, many data centers choose to hire professional disposal services. However, storing drives waiting for the service puts them at risk to come up missing, and sending a drive offsite for secure disposal puts the drive's data at the same risk as tape data leaving the data center unsecured. Data centers routinely decommission drives, and it only takes one drive to fall through the cracks to possibly cost a company millions of dollars in remedies for the breached data.

# The Benefits of Self-Encrypting Drives in the Data Center

The beauty of self-encrypting drives is that from the moment the drive or system is removed from the data center, whether intentionally or otherwise, the data on the drive is secure. No advance action or thought is required on the part of the data center administrator to secure this data. There is no way for the data to be breached should the drive be mishandled.

Several years ago, before Seagate started working on encryption for the drive, the National Security Agency (NSA) analyzed the problem of data security and determined that the place to perform encryption is in the hard drive. The NSA has spoken publicly at conferences in support of encrypting drives.

However, data center administrators have been reluctant to implement encryption. A major reason for this is fear of losing the key to decrypt their data. Even if they have experience with a good key management system for tape drive encryption and are convinced that key loss can be prevented, there are concerns about complexity, interoperability, performance and cost. This paper will discuss how Seagate Secure™ self-encrypting hard drive technology addresses those concerns.

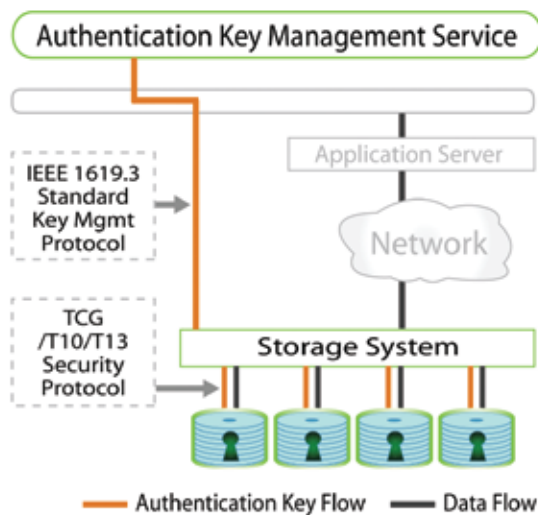


Figure 1

## Technology Overview

A data center containing self-encrypting hard drives provides a key management service that stores, manages and serves authentication keys (i.e., passwords), and a storage system that passes these authentication keys to the correct drive. This technology consists of three components, described in Figure 1. Seagate, IBM and LSI are working cooperatively to bring technologies from their respective organizations to deliver these complete solutions.

The self-encrypting drives perform full disk encryption. When a write is performed, clear text enters the drive and, before being written to the disk, is encrypted using an encryption key embedded within the drive. When a read is performed, the encrypted data on the disk is decrypted before leaving the drive. The black line in Figure 1 denotes the clear text data. The drive requires an authentication key (otherwise known as a password) from an outside source before the drive will unlock for read/write operations.

In addition to its traditional functions, the storage system defines secure volume groups, gets the authentication keys from the key management service, and passes the key to the correct drive. The orange line in Figure 1 denotes this operation. The storage system makes the encryption function transparent to the hosts, OS, databases and applications.

Once authentication is completed during power-up, encryption is transparent to the storage system, and the storage system performs its traditional functions normally. Storage systems are optimized for un-encrypted data for data compression and de-duplication.

Any encryption solution introduces new requirements for managing the various keys associated with it. This key management service may include software- or hardware-based key stores, and its role is to create, assign and manage the relevant authentication and encryption keys across the enterprise. Effective key management should fit into the organization's existing security policies, so the service and the keys themselves are well protected from unauthorized access. Moreover, an effective key management system should include backup, synchronization, life-cycle management, auditing and long-term retention capabilities. What can greatly simplify the deployment of the

# The Benefits of Self-Encrypting Drives in the Data Center

key management service is the ability to take advantage of an organization's existing high-availability and disaster-recovery solution.

The IBM Tivoli Key Lifecycle Manager (formerly Encryption Key Manager) is a Java-based software program that can generate, protect, store and maintain authentication keys that are used with IBM self-encrypting tape drives. As a Java application, IBM Tivoli Key Lifecycle Manager operates on z/OS, i5/OS, AIX, Linux, HP-UX, Sun Solaris and Windows, and is designed to be a shared resource which can be deployed in several locations within an enterprise to help keep the application highly available. With its platform neutrality and its ability to take advantage of the existing security policies of an organization's most secure server platform, IBM Tivoli Key Lifecycle Manager offers a simple and effective method for managing the growing number of encryption keys across the enterprise.

This technology is designed to be standards-based and to be part of an interoperable solution. The Trusted Computing Group (TCG) Storage Work Group has developed a security communication protocol for self-encrypting drives. Supporting transport commands in T10 and T13 are ratified. IEEE 1619.3 is developing

a standard authentication key management protocol. All hard drive vendors and major storage vendors are participating in the Trusted Computing Group. Leading storage system vendors and key management vendors are participating in IEEE 1619.3.

Ultimately, this technology will apply across the entire data center, as shown in Figure 2. Self-encrypting drives may be in storage arrays, on SANs, NAS, and servers, in data centers, branch offices and small businesses. A unified key management service will support the key management requirements for all forms of storage (as well as other security applications).

Proven technology available today is shown highlighted in green in Figure 3. An example is IBM's Key Management for tape encryption. It has been operating with TS1120 encrypting tape drives for over a year, and it has recently been extended to support encryption on their LTO4 tape drives. Seagate full disk encryption (FDE) drives are currently being used in notebooks, and Seagate has announced a desktop and USB version of those drives.

This paper will now take a closer look at FDE drive technology as it will be used in enterprise drives.

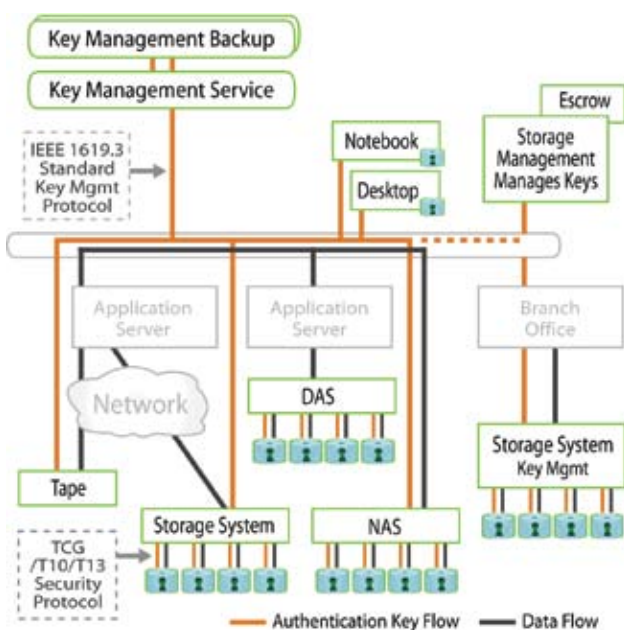


Figure 2

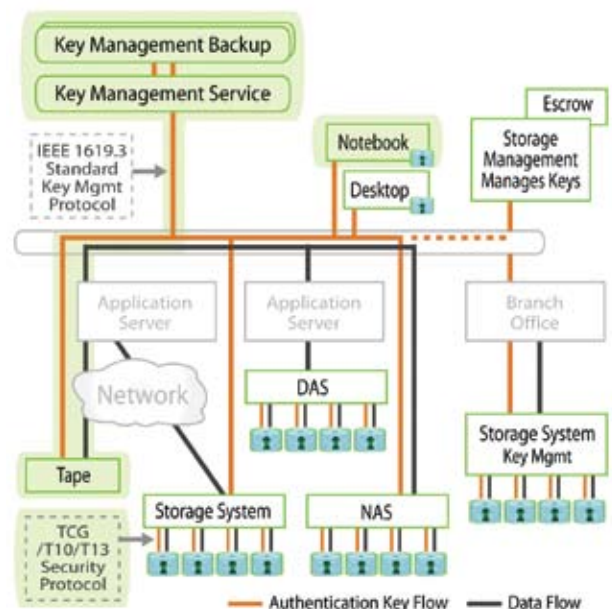


Figure 3

# The Benefits of Self-Encrypting Drives in the Data Center

## The Hard Drive's Authentication and Encryption Process

It may seem impossible that the encrypting hard drive can provide a secure encryption function without also holding some secret, such as the password or encryption key. Clearly, if it did, any hacker learning a secret would have access to the encrypted data on the drive. In fact, the drive contains no secret that, if discovered, would reveal the encrypted data. A simple description of the unlock process shows how this is true.

The unlock process is the part of the power-on activity that enables access to the encrypted data. The drive expects a credential to be supplied to it, which it verifies as proof that the drive is being accessed by an authorized user.

These are the steps of the authentication process with a previously secured drive, as depicted in Figure 4:

### 1. Authentication

- The storage system gets the authentication key from the key management service and sends it to the correct locked drive.
- The drive hashes the authentication key, and compares that with the hashed authentication key that is stored in a secure area of the disk.
- If the two hashed authentication keys do not match, the authentication process ends, and the drive will not permit reading data from the disk. The drive never sends cipher text from the drive. The drive remains locked.

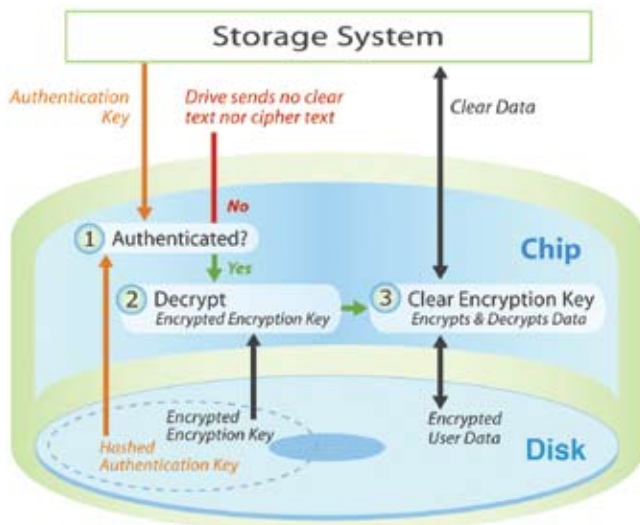


Figure 4

### 2. Decrypt the encrypted encryption key

- If the two hashes match, the drive is then unlocked and the drive uses the authentication key it received from the storage system to decrypt a copy of the encryption key, which was previously encrypted with the authentication key. The encrypted encryption key is stored in a secure area of the disk. This authentication process occurs when the drive is first powered on. After the authentication process is successfully completed, the drive is unlocked until the next time it is powered down. The authentication process does not repeat with each read and write operation. The authentication process does not occur again until the next time the drive is powered up.

### 3. Clear encryption key encrypts and decrypts the data.

- The clear-text encryption key is then used to encrypt data to be written to the disk and to decrypt data that is being read from the disk.
- The drive now works in a standard fashion during data transfers with encryption and decryption occurring in the background transparently.

## Initial Setup

With a self-encrypting drive, an encryption key and an authentication key work together to enable access to the information. Setting up the system is quite simple. Each drive randomly generates an encryption key that is imbedded on each drive. The first thing you may want to do is change the encryption key for your added security confidence, which also protects you from a warehouse attack.

When the owner acquires the drive, this embedded encryption key is in clear text form, until the authentication key is introduced. The drive will still encrypt and decrypt all data that it writes to and reads from the disk; however, without establishing an authentication key, anyone can write and read the clear text data from the disk.

To put the drive in a secured state, the owner needs to establish a password or authentication key. The owner establishes a password by entering the SID (proof of ownership) from the drive's external label and sets the authentication

# The Benefits of Self-Encrypting Drives in the Data Center

key or password. This password, or authentication key, is used to encrypt the encryption key. The drive is now in a secured state. Once the drive is powered down, it will be locked, and when powered up will require authentication before becoming unlocked.

## Change of Ownership

This technology also greatly simplifies repurposing of the drive and disposal. If the owner wishes to repurpose the drive (i.e., change the drive from being in a secured state to an unsecured state so that someone else can use the drive) the owner would perform a key erase, which replaces the encryption key. After key erase, the data that had been written to the disk is unreadable and the drive in an unsecured state, just as it was delivered from the factory.

If the drive is believed to be misplaced or stolen, the owner can also delete all copies of the authentication key for additional security.

## Security

When a drive is decommissioned from the data center, the drive is always in danger of falling into the wrong hands.

Figure 5 depicts what potential attackers will have if they obtain this locked drive. Some may assume that because the encryption is performed within the drive, a copy of the encryption key must be kept in the drive. However, as was shown above, the encryption key is not kept in the drive—only

an encrypted version of the encryption key is kept in the drive. There are no clear text secrets anywhere on the drive, just a fingerprint (hash) of the password. In designing the drive, Seagate assumes an attacker might have complete knowledge of the drive's design and the location of any secrets held by the drive. Since there is no clue on the drive that could result in deciphering the data, knowing the intricate details of the drive's design and construction in no way benefits hackers. Similarly, breaking one drive provides no secrets that would allow the attacker to break other drives more easily.

Both the data and the encryption key are encrypted using the AES 128 algorithm, the same encryption algorithm approved by the U.S. government for protecting secret-level classified information.

Generally, cipher text exposure can aid an attack. For instance, if the file system on the drive is a well-known structure, a hacker might be able to take advantage of the fact that certain sectors always contain known values to begin an attack on the encryption. Database structures are also well known. But since the self-encrypting drive does not send cipher text from itself, this type of attack is effectively thwarted. Moreover, the drive can power itself down after a predetermined number of failed authentication attempts. The drive has protected firmware downloads; an attacker cannot insert modified firmware into the drive.

The self-encrypting drive does not protect against threats within the data center. If an attacker gets access to a server, for example, and the server can access the unlocked drive, then the attacker will be able to read the clear text coming from the drive. This encryption technology does not replace the data center's access controls; rather it is complementary to those controls.

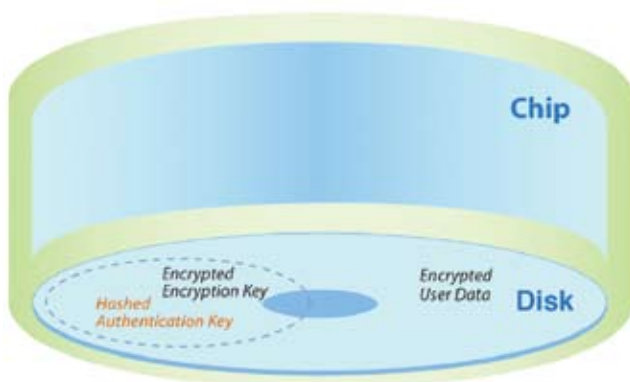


Figure 5

# The Benefits of Self-Encrypting Drives in the Data Center

## Manageability

Manageability is greatly simplified because the encryption key does not leave the drive. There is no need to track or manage the encryption key. The data center administrator doesn't need to escrow the encryption key to maintain data recoverability, because the drive keeps encrypted copies of the encryption key in multiple locations on the drive. If the drive loses all instances of its copies, it is likely caused by drive failure, which makes its data unreadable anyway. Additional encryption keys are automatically added with data redundancy—each time the data is mirrored onto another self-encrypting drive, that drive will have its own set of encrypted encryption keys. Because the data center administrator doesn't need to escrow the encryption key, the key stays protected within the drive.

Separation of authentication and encryption keys provides several management benefits for the user. Because the encryption key itself is encrypted and doesn't leave the drive, the data center administrator doesn't need to change the encryption key periodically, the way a person may change his/her password periodically for security reasons. That means not having to decrypt and re-encrypt the data, a highly resource-intensive process. The authentication key can be changed as often as desired, without requiring re-encryption. Should a storage administrator leave or a new operator arrive, their rights to access the storage can be incorporated without affecting the encrypted data.

If the owner wants to return the drive to the manufacturer under warranty, they could perform the key erase, which lets the manufacturer analyze the drive without exposing the user data. Without encryption, if the owner doesn't want the manufacturer to see the data, they don't even return the drive, losing the benefits of warranty and preventing the manufacturer from learning from the failure to improve future drives.

It's easy to add disk drives with different embedded encryption algorithms to an existing array. The data center can have a mix of encryption algorithms in the same array, because the encryption algorithm is transparent to the system. As drive models change and newer encryption technology gets incorporated into hard

drives, they can be intermixed with older drives in storage systems that support encryption without making any changes specific to the new drives' higher level of protection.

## Performance

The self-encrypting hard drive uses hardware-based encryption. The encryption engine is in the controller ASIC. There is an encryption engine dedicated to each port on the drive. The encryption engine matches each drive port's maximum speed. Encryption will not slow the system down. Even better, this scales automatically. As more drives are added, the encryption bandwidth increases commensurately. The data center administrator doesn't have to think about balancing encryption workloads when adding more drives to an array or more arrays to the data center. Also, because the data center administrator can encrypt all of the data they wish to without performance degradation, there is less need for data classification. This simplifies the process of planning for and managing encryption in the data center.

# The Benefits of Self-Encrypting Drives in the Data Center

## Conclusion

Data center administrators have good reason to want to encrypt their data at rest. This technology addresses those reasons and the concerns that have prevented encryption of data at rest in the data center. It is simple: the data is secure the moment a drive is removed from the system. A drive may be compromised, but it will not expose the data.

The fear of losing the authentication key and being unable to decrypt one's own data is lessened by the fact that the encryption key does not leave the drive. The encryption key does not need to be tracked or managed in order to recover data. Keys can be securely backed up, replicated and mirrored in disaster-recovery centers. Self-encrypting hard drives also address questions of complexity, interoperability, performance and cost. The technology is designed to be standards-based for optimal manageability and interoperability, and all major hard drive manufacturers are participating in the standards activity. Also, the hard drive industry has long demonstrated that standards drive volume and competition, which drive cost. Economies of scale enable incremental logic in the ASICs to remain a small portion of drive material costs.

This technology is designed to be integrated into standard products, which are implemented per the standard storage upgrade schedule. The ability to compress and de-duplicate data in the storage system is maintained. The value of the drive hardware is preserved by being able to easily and securely repurpose the drives or return drives for service, warranty or expired lease. Self-encrypting drives dramatically simplify drive decommissioning because the encryption key is in the drive, enabling simple secure erase. They reduce drive decommissioning costs and may reduce security insurance costs. The encryption is transparent to normal storage management, the OS, applications, databases and end users. Performance scales linearly, automatically, and because all data can be encrypted without performance degradation, there is less need for data classification.

Encryption in the drive provides superior performance, manageability and security. For that reason, many analysts, system manufacturers and government agencies like the NSA are suggesting encryption should be done there. The bottom line: This is a significant leap forward to improve security and management in the world's data centers.